

United Nations Development Programme

Country: INDIA 2014 Annual Work Plan

Project Title: Mainstreaming Conservation and Sustainable Use of Medicinal Plants Diversity in Three Indian States

Implementing Partner: Ministry of Environment and Forests

UNDP Strategic Plan Outcome: Outcome 2: Stronger Democratic Governance to meet citizen expectations

UNDP Strategic Plan Output(s): Output 2.5: Conservation, sustainable use, access and benefit share of natural resources biodiversity and ecosystem

UNDAF/CPD Outcome: Government, industry and other relevant stakeholders actively promote environmental sustainability and enhanced resilience of communities in the face of challenges of climate change, disaster risk and natural resource depletion.

PROJECT DESCRIPTION

A. State the specific development challenge or gap that this AWP is addressing.

India's natural forest are home to about 8000 medicinal plants that form the primary source of health care for 60 - 80% of the country's population, particularly the rural poor. However, efforts aimed at equitable use of these resources are largely ineffective and harvesting remains uncontrolled. The Forest Policies of India do not adequately address conservation and sustainable use of medicinal plants, especially given the fact that most of the rural poor depend on the medicinal plant resources for their health and livelihood needs. The activities in this AWP aim to mainstream the long term conservation, sustainable and equitable use of India's medicinal plant diversity into forest management policy and practice at the national, state and local level in three Indian states: Arunachal Pradesh in North-East India, Chhattisgarh in Central India and Uttaranchal in North-west India. Outcome 1 and 2 of the project, therefore, focus on revision of forest policies in favour of medicinal plants, enhanced capacity of stakeholders through introduction of course curriculum in the national and state forest academy, etc.

B. Select one or more of the below strategies for addressing the above mentioned challenge/gap and describe in the context of this AWP:

- ✓ Changes in attitudes and access to decision making through awareness raising, brokering, convening
- ✓ Changes in policies, plans, budgets and legislation through support to national assessment, planning, budgeting, policy making
- ✓ Changes in the lives of individuals and communities through implementation for inclusive development

C. List the possible improvements in the capacities of institutions, individuals and systems that will occur as a result of this AWP.

- Inclusion of the subject of medicinal plants in the course curriculum of Indian Forest Services (IFS) Officers and learning for the faculty at Indra Gandhi National Academy will help enhance capacities of the faculty and the probationers of IFS. Awareness generation and capacity development activities for the front line forest officers, Biodiversity management committee members, local community on conservation and sustainable use of medicinal plants will help them plan better for management of Medicinal Plants Conservation Areas and matters of Access and Benefit Sharing.

D. List the gender issues in this AWP and specific ways in which they will be addressed.

When designed the project did not have specific focus on gender issues, however, the project partners have been now focusing on gender mainstreaming in all their activities wherever possible. In this year's AWP, there is a focus on working with women collectors to train them on sustainable harvest of medicinal plants, strategies are being developed to link these women with enterprises and value addition activities, learning from women on ongoing practices for developing harvest protocols, ensuring increased participation of women and men in the village botanist course, management of nurseries, plantation of medicinal plants, developing home herbal gardens, etc.

E. List the South-South cooperation opportunities in this AWP and specific ways in which they will be addressed.

- Sharing of experience by participating in two events organized by the Secretariat of Convention on Biological Diversity in South Korea. Dissemination of experiences and lessons learnt through project website, e-networks, articles/research papers in international magazines/journals,
- International trainings/workshops especially for Asia-Pacific region of the Convention on Biological Diversity.

Programme Period: 2013-2017
 Atlas Project ID: 00042968
 Atlas Output ID: 00049929
 Start date: 18 Mar 2008
 End Date: 30 June 2015*
 PAC Meeting Date: 09 Jul 2007
 Implementation modality: NIM
 *The project is seeking extension till 30 June 2015.

2014 AWP budget: **USD 850,000**

- Regular: USD NIL
- Donor: (GEF) USD 850,000
- Government: USD NIL
- In-kind Contributions (GEF): USD NIL

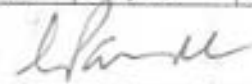
Government Contributions: USD 6,479,121 for the total project period not through UNDP budget

PROJECT BUDGET AND EXPENDITURE so far:

| Project Budget | Exp 2008 | Exp 2009 | Exp 2010 | Exp 2011 | Exp 2012 | Exp 2013* | Budget 2014 | Budget 2015 |
|----------------|----------|----------|----------|----------|-----------|-----------|-------------|-------------|
| 4,935,000 | 14,157 | 570,288 | 313,972 | 652,314 | 13,43,714 | 990,375* | 850,000 | 200,180 |

*provisional figures

Agreed by (Implementing Partner): Mr Hem Pande, Additional Secretary, MoEF.



Agreed by UNDP: Ms. Alexandra Solovieva, Deputy Country Director, UNDP, India.

ASCOLOVIEVA 27/03/2014

(HEM PANDE)
 Additional Secretary
 Ministry of Environment & Forests
 Government of India, New Delhi

Alexandra Solovieva
 UNDP Deputy Country Director

I. ANNUAL WORK PLAN

Year: 2014

Key area of UNDP strategic Plan:

UNDAF/CPD Outcome: Government, industry and other relevant stakeholders actively promote environmental sustainability and enhanced resilience of communities in the face of challenges of climate change, disaster risk and natural resource depletion.

Relevant UNDP Strategic Plan result: Environment and sustainable development; crisis prevention and recovery

CPAP OUTCOME Indicators:

Number of government's schemes and missions which incorporate climate resilience measures.

CONTRIBUTING TO CPAP OUTPUT:

Sustainable management of biodiversity and land resources is enhanced

CONTRIBUTING TO CPAP 5 year target:

Making management of natural resources more sustainable with the use of community-based approaches

| Annual OUTPUTS | PLANNED ACTIVITIES | Month of completion | RESPONSIBLE PARTY | PLANNED BUDGET | | |
|----------------|--------------------|---------------------|-------------------|----------------|--------------------|---------------------|
| | | | | Funding Source | Budget Description | Amount ¹ |

OUTCOME 1: An Enabling Environment for Mainstreaming the Conservation and Sustainable Use of MAPs into inter-sectoral Management Policies and Practices at the National Level.

OUTCOME INDICATORS:

1. National forest policy revised to favour sustainable use & conservation of MAPs
2. Strengthened capacity within NMPB to fulfil their mandate.
3. Greater inter-sectoral cooperation at national level to achieve sustainable use and conservation of MAPs.

OUTCOME BASELINE:

1. No specific focuses on MAPs in national forest policy E.g. JFM guidelines do not address sustainable use or conservation of MAPs.
2. Limited technical and institutional capacity to fulfil key parts of its mandate such as assessing supply of MAPs, actively managing supply and demand and particularly for inter-sectoral national coordination.
3. No formal inter-sectoral cooperation in relation to MAPs to date. However, State and Central agencies involved with medicinal plants issues have been identified and committed themselves to provide their expertise for coordinating project components.

OUTCOME TARGETS:

1. Revised national JFM guidelines with stronger focus on conservation of MAPs.
2. Capacity needs assessment of NMPB in inception phase. Targeted capacity development of key staff based on results of capacity assessment in Yrs 2 & 3. Mechanisms for assessing supply and demand of MAPs developed and adopted by NMPB by Yr 5. Mechanisms for inter-sectoral coordination developed and functioning effectively by Yr 3.
3. No formal inter-sectoral cooperation in relation to MAPs to date. However, State and Central agencies involved with medicinal plants issues have been identified and committed themselves to provide their expertise for coordinating project components

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| Output 1.1: A national strategy that addresses conservation, cultivation and the sustainable use of medicinal plants Baseline: No such strategy exists. Indicators: A comprehensive national strategy on MAPs Targets: A holistic national strategy paper which addresses conservation, cultivation, sustainable use and trade of MAPs and protection of associated Traditional knowledge developed by Yr 3 and issued in the form of a policy guideline to the states by GoI by Yr 5. | Submission of final draft | Jan 2014 | IAIM, FRLHT | GEF Funds | 72100- Contractual services (FRLHT)– US \$ 3,200 | 3,200 | |
| | Review of the draft and final submission after incorporation of comments | May 2014 | | | | | |
| | Cabinet Note prepared | July 2014 | | | | | |
| | Adoption of recommendations as deemed necessary by the respective Government Departments | Dec 2014 | | | | | |
| OUTPUT TOTAL US \$ | | | | | | 3,200 | 3,200 |

NOTES:

GEF Total Budget as per Project Document- USD 82958

Co – Finance Budget as per Project Document – USD 67,449.

Total Contract (Rs.) – 32,00,000

Amount released so far (Rs.) 30,08,000/-

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| <p>Output 1.2: Revised national JFM guidelines with a stronger focus on conservation & sustainable use of MAPs.</p> <p>Baseline: Current JFM guidelines do not address sustainable use or conservation of MAPs.</p> <p>Indicators: The revised national guidelines for JFM</p> <p>Targets: Revised national JFM guidelines with stronger focus on conservation and sustainable use of MAPs especially GSMIPs are issued by GoI by year 3.</p> | Regional Consultations | Mar 2014 | MoEF/ UNDP and IIFM | GEF Funds | 72100- Contractual services (IIFM)– US \$ 12,900 | 12,900 |
| | National Consultation | May 2014 | | | | |
| | Submission of Draft report | Jun 2014 | | | | |
| | Review of Final Draft Report | Aug 2014 | | | | |
| | Submission of Report after incorporation of comments | Aug 2014 | | | | |
| | Sharing recommendations with respective Government Departments | Sep 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | 12,900 | 12,900 |

NOTES:

GEF Total Budget as per Project Document- USD 111,110

Co – Finance Budget as per Project Document – USD 44,442

Total Contract – Rs 3,859,000/-

Amount released so far (Rs.) 30,87,200/-

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| <p>Output 1.3: Legal mechanisms to protect Traditional Knowledge on harvesting, cultivation & use of MAPs</p> <p>Baseline: There are no implementation strategies or regulations related to traditional ownership rights</p> <p>Indicators: Legal mechanisms to protect Traditional Knowledge on MAPs</p> <p>Targets: Critical gaps in legal framework for protection of Traditional Knowledge, including IPR identified in Yr1. Regulations and legislation to safeguard traditional knowledge developed by Yr 3 by central government and adopted by Yr 4</p> | <p>Sharing recommendations with respective Government Departments</p> | <p>June 2014</p> | <p>TERI/ MoEF/ UNDP</p> | <p>GEF Funds</p> | <p>NIL</p> | <p>NIL</p> |
| OUTPUT TOTAL US \$ | | | | | NIL | NIL |
| <p>NOTES: GEF Total Budget as per Project Document- USD 57,776 Co – Finance Budget as per Project Document – USD 11,111.</p> | | | | | | |

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| <p>Output 1.4 Development of criteria for identifying and evaluating the suitability of medicinal plant species for cultivation and potential inclusion in Govt. planting programmes.</p> <p>Baseline: NMPB has identified and notified 32 species with regional prioritization for cultivation and augmentation, but the list is not based on field information</p> <p>Indicators: Field verified list of MAPs suitable for cultivation and inclusion in NAEB & MoRD afforestation & income-generating programmes</p> <p>Target: Legally notified state specific and field verified species specific list of actions and interventions in place on rolling basis in each of project states in Years, 3, 4 and 5</p> | | NMPB/ UNDP/ MoEF | GEF Funds | 72100- Contractual services – US \$ NIL | NIL | |
| OUTPUT TOTAL US \$ | | | | NIL | NIL | |
| <p>NOTES:</p> <p>GEF Total Budget as per Project Document-USD 74,810 Co – Finance Budget as per Project Document – USD 740935. (The study budget has been pegged at Rs.40 lakh by NMPB and NPSC.)</p> | | | | | | |
| <p>Output 1.5 Capacity of NMPB strengthened to enable it to function more effectively as an inter-sectoral coordinating body for the medicinal plants sector.</p> | Sharing recommendations of IDCG study with NMPB for their consideration | June 2014 | NMPB | GEF Funds | 71300 -Local consultant and/or 72100 - Contractual services – US \$ | 27,800 |

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| <p>Baseline: Currently NMBP has limited capacity to for or engaging in or leading inter-sectoral dialogue and coordination at national level and limited technical know-how on the sustainable management of wild MAPs</p> <p>Indicators: Inter-sectoral dialogue & cooperation at national level Technical assistance provided to states by NMPB.</p> <p>Target:</p> <p>1. Increased instances of inter-sectoral dialogue and cooperation between relevant government ministries on MAPs conservation and sustainable use.</p> <p>2. Increased requests by relevant State Government Agencies for technical assistance by NMPB 3.Enhanced fund flow to this sector from different ministries</p> | NMPB to share final ToRs for Contractual Staff | Feb 2014 | | | 27,800 | |
| | Procurement of equipment and agency for providing contractual staff for NMPB | Jan 2014 | | | | |
| | Salaries of contractual staff through an identified agency | Feb – Sep 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | 27,800 | 27,800 |
| <p>NOTES:</p> <p>GEF Total Budget as per Project Document-USD 82,960</p> <p>Co – Finance Budget as per Project Document – USD 22,222.</p> <p>(NPSC has recommended engaging two contractual senior technical consultants for NMPB and budgeting the salaries of such contractual staff under the project for one year or till September 2014, whichever is earlier)</p> | | | | | | |
| <p>Output 1.6 Long term strategy for threat assessment and monitoring the conservation status of medicinal plants in India</p> <p>Baseline: Currently methods for generating field information for assessment of threat and conservation status of MAPs,</p> | Submission of draft of Final Report along with the strategy | Feb 2014 | IAIM – FRLHT | GEF Funds | 72100- Contractual services (I-AIM, FRLHT)– US \$ 7,750 | 7,750 |
| | National Consultation | Apr 2014 | | | | |
| | Review of Final Draft Report | Jun 2014 | | | | |
| | Submission of Report after incorporation of comments | Jun 2014 | | | | |
| | Inclusion of select Med Plants in Red Data Book | Jun 2014 | | | | |

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| <p>including GSMPS do not exist.</p> <p>Indicators: Threat assessment & conservation status monitoring strategy and protocols</p> <p>Target: Scientifically developed and field tested threat assessment protocol for MAPs developed (building on existing rapid threat assessment methods) and published by Yr 4 together with overall MAP monitoring strategy. Strategy and protocols adopted by the project state governments in the management of MAPs by Yr 5.</p> | <p>Sharing recommendations of the study and strategy with relevant Government Departments and NGOs.</p> | <p>Sep 2014</p> | | | | |
| OUTPUT TOTAL US \$ | | | | | 7,750 | 7,750 |
| <p>NOTES:</p> <p><i>GEF Total Budget as per Project Document- USD 103,897</i></p> <p><i>Co – Finance Budget as per Project Document – USD 19,834.</i></p> <p>Total Contract: Rs. 46,47,500/-</p> <p>Amount Released so far: Rs. 41,82,500/-</p> | | | | | | |
| <p>Output 1.7 A course module on the conservation and sustainable use of</p> | <p>Finalise course curriculum, modules and materials</p> | <p>Sept 2014</p> | <p>IGNFA</p> | <p><i>GEF Funds</i></p> | <p>72100-Contractual</p> | <p>3,350</p> |

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| <p>medicinal plants developed for the Indian Forest Service (IFS) curriculum.</p> <p>Baseline: Currently the syllabus for Indian Forest Service curriculum does not include a module on conservation and sustainable use of MAPs.</p> <p>Indicators: A course module on conservation and sustainable use of MAPs</p> <p>Target: To develop the module by year 2 and have it included in the syllabus by year 5</p> | | | | <p>services (IGNFA)– US \$ 3,350</p> | |
| OUTPUT TOTAL US \$ | | | | 3,350 | 3,350 |
| <p>NOTES: <i>GEF Total Budget as per Project Document-USD 62,221</i> <i>Co – Finance Budget as per Project Document – USD 88,387.</i> Total Contract: Rs 20,00,000/- Amount Released so far: Rs 18,00,000/-</p> | | | | | |
| TOTAL US \$ FOR OUTCOME 1 | | | | 55,000 | 55,000 ✓ |
| <p>OUTCOME 2: Forest Management Policies in the Three Project States Promote and Support the Conservation and Sustainable Use of Medicinal and Aromatic Plants.</p> | | | | | |
| <p>OUTCOME INDICATORS:</p> <ol style="list-style-type: none"> 1. State forest policies revised to favour sustainable use & conservation of MAPs. 2. Strengthened and new legal mechanisms to protect community interests over MAPs, including IPR. 3. Strengthened capacity within SMPBs to fulfil their mandate. 4. Greater inter-sectoral cooperation to achieve sustainable use and conservation of MAPs. | | | | | |

OUTCOME BASELINES:

1. Limited focus on MAPs in key state forest policies, eg JFM Guidelines do not refer to MAPs & Forest Division Working Plans do not address conservation management of MAPs. Other opportunities for forest policy changes at state level to be identified by Yr 1.
2. Existing forest laws do not relate to medicinal plants
3. Limited to non-existent capacity. Capacity needs of each SMPB to be assessed by Yr2/Q2.
4. Minimal. No dedicated policy for MAPs although growing interest, eg Chhattisgarh & Uttaranchal declared as 'Herbal States'. Baseline studies by Yr 2/Q2 to include: a) Detailed analysis to establish extent of conflict and cooperation and main requirements for effective consultation and inter-sectoral action; and b) A detailed review of state-level policies and key sectors to be undertaken to identify key areas for policy harmonization.

OUTCOME TARGETS:

1. Revised JFM orders/circulars with stronger focus on conservation of MAPs. Nature of required revisions to be determined based on policy analysis by Yr 1 Forest Division Working Plans in project districts revised.
2. Appropriate legal mechanisms and measures that build on existing mechanisms identified and developed in years 3 & 4 and adopted by end of project.
3. Over 80% of SMPB management and technical level staff to be sufficiently trained to deliver their mandate effectively by Yr 5.
4. State-level inter-sectoral & technical coordination committees established. Individual state strategies for the Sustainable Use & Conservation of MAPs signed off by at least 2 government departments in each state by Yr 6.

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| Output 2.1. Develop medicinal plant conservation and sustainable management strategies for Arunachal Pradesh, Chhattisgarh & Uttarakhand. Baseline: No state-specific MAP conservation and sustainable use strategies other than in Uttaranchal State which has a plan, but that is not as comprehensive as the strategies to be developed through this project. Indicators: The state-specific strategies Targets: State-specific strategies addressing the conservation, cultivation, | ARUNACHAL PRADESH Submission of Final Strategy | May 2014 | APSMPB | GEF Funds | 72100-Contractual services 11432 | 11,432 |
| | Cabinet Note and department/ministry note preparation | July 2014 | | | | |
| | Sharing the strategy with relevant stakeholders. | Sep 2014 | | | | |
| | CHHATTISGARH State is planning to commission a combined study under Output 2.1, 2.2 and 2.3 Review of draft strategy | Apr 2014 | CG SMPB | | 72100-Contractual services 17,500 | 17,500 |
| | Review of Strategy and provide comments to agency | Jul 2014 | | | | |
| | Submission of Final Report along with strategy | Aug 2014 | | | | |

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| sustainable use and trade of MAPs and protection of associated traditional Knowledge formulated for Arunachal Pradesh by year 3 and Chhattisgarh and Uttaranchal by year 4 and notified by each of the three state governments by the following year | Cabinet Note and department/ministry note preparation | Sep 2014 | | | | |
| | Sharing the strategy with relevant stakeholders. | Dec 2014 | | | | |
| | Uttarakhand State level Consultation | Feb 2014 | Dr Ramesh Uniyal (FRLHT) and SMPB | | 72100-Contractual services (FRLHT) 8,333 | 8,333 |
| | Submission of Final Strategy | May 2014 | | | | |
| | Review of Strategy | Aug 2014 | | | | |
| | Cabinet Note and department/ministry note preparation | Sep 2014 | | | | |
| | Sharing the strategy with relevant stakeholders. | Dec 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | 37,265 | 37,265 |
| NOTES: | | | | | | |
| <i>GEF Total Budget as per Project Document - USD 72,475</i> | | | | | | |
| <i>Co – Finance Budget as per Project Document – USD 126,830.</i> | | | | | | |
| Output 2.2 & 2.3. | ARUNACHAL PRADESH | | APSMPB | GEF Funds | 72100 - Contractual services – US \$ 6827 | 6827 |
| a) Revised state forest policies that support conservation & sustainable use of MAPs. | Sharing recommendations of the study with relevant Government Departments | Jun 2014 | | | | |
| b) Revised state-level JFM Orders & Guidelines that integrate and strengthen MAP conservation and sustainable use objectives within overall JFM programmes and practices. | CHHATTISGARH | | UNDP/CGSMPB | | | |
| | Review of interim report and provide comments to agency | Jun 2014 | | | | |
| | Submission of Final Report | Jul 2014 | | | 72100 - Contractual services – US \$ 20,000 | 20,000 |
| | Sharing recommendations of the study with relevant Government Departments | Oct 2014 | | | | |
| Baseline: | UTTARAKHAND | | UKSMPB | | 72100 - Contractual services | 14,167 |
| a) No specific focus on MAPs in forest policies of these three states. | Review of Draft Report along with literacy modules by State and MoEF. | May 2014 | | | | |
| b) State-level JFM orders and | | | | | | |

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| <p>guidelines do not address sustainable use or conservation of MAPs.</p> <p>Indicators:</p> <p>a) Revised state forest policies</p> <p>b) Revised state-level orders and guidelines for JFM</p> <p>Targets:</p> <p>a) Revised forest policies that favour sustainable use and conservation of MAPs formulated and adopted by the Publication of the revised forest policies in the three states. 93 MAPs three project states by year 4.</p> <p>b) Revised state-level JFM orders and guidelines with stronger focus on conservation and sustainable use of MAPs especially GSMIPs are issued by the respective state governments by year 4.</p> | <p>Final Report along with literacy modules to be submitted for consideration of State Cabinet.</p> | Jun 2014 | | | (ELDF) – US \$ 14,167 | |
| | <p>Sharing recommendations of the study with relevant Government Departments</p> | Sep 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | 40,994 | 40,994 |
| <p>NOTES:</p> <p><i>GEF Total Budget as per Project Document - USD 88,028</i></p> <p><i>Co – Finance Budget as per Project Document – USD 44,443.</i></p> | | | | | | |
| <p>Output 2.4. State-level legal mechanisms to protect traditional knowledge on</p> | <p>Sharing recommendations of the study with relevant Government Departments</p> | Sep 2014 | SMPB | GEF Funds | NIL | NIL |

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| <p>harvesting, cultivation & use of MAPs.</p> <p>Baseline: Existing forest laws do not relate to medicinal plants. States are in the process of developing their own legislation to implement the National Biodiversity Act19 which has potential for helping communities protect their interests over MAPs.</p> <p>Indicators: Strengthened or new state-level legal mechanisms to protect Traditional Knowledge on MAPs including IPR.</p> <p>Targets: Legal gaps at state level identified by year 2 following national level analysis. Proposed mechanisms and/or amendments to existing laws and regulations to be based on changes to national level legislation and to be adopted by Yr 6.</p> | | | | | | |
| | | | | | OUTPUT TOTAL US \$ | <i>NIL</i> |
| <p>NOTES: <i>GEF Total Budget as per Project Document-USD 30,031.</i> <i>Co – Finance Budget as per Project Document – USD 35,555</i></p> | | | | | | |

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| <p>Output 2.5. To develop the capacities of the SMPBs in each of the three project states and strengthened to enable these to function inter-sectorally and fulfil their mandate in the respective states sufficiently trained to deliver their mandate effectively by Yr 5.</p> <p>Baseline: Limited to non-existent capacity. Capacity needs of each SMPB to be assessed by Yr2/Q2.</p> <p>Indicators: Capacity of SMPBs to deliver their main mandate in relation to MAP conservation & sustainable use.</p> <p>Targets: Over 80% of SMPB management and technical level staff to be sufficiently trained to deliver their mandate effectively by Yr 5</p> | <p>Consideration of recommendations of iDCG study by the three project State SMPBs.</p> | <p>Dec 2014</p> | | <p>GEF Funds</p> | <p>NIL</p> | <p>NIL</p> |
| OUTPUT TOTAL US \$ | | | | | <p>NIL</p> | <p>NIL</p> |
| <p>NOTES: <i>GEF Total Budget as per Project Document- USD 38,839</i> <i>Co – Finance Budget as per Project Document – USD 13,333.</i></p> | | | | | | |
| <p>Output 2.6. Identification of MAP species for cultivation and inclusion in the species lists used for afforestation and income generation programmes (Of the NAEB and MoRD at the state and SFDs and State Rural Development Boards for each of the three project states).</p> <p>Baseline: Currently no such state-specific field verified lists of species exists.</p> <p>Indicators: State-level lists of suitable MAPs for cultivation in afforestation and income generating programmes of NAEB</p> | <p>Arunachal Pradesh Training of stakeholders Consideration of recommendations by the SMPB.</p> | <p>Jun 2014</p> | <p>AP SMPB</p> | <p>GEF Funds</p> | <p>71600 – Travel – US \$ 5,000 71300 - Local consultant and/or 72100 - Contractual services – US \$ 500 74500 – Miscellaneous - US \$ 300</p> | <p>5,800</p> |

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| <p>and MoRD, and SFDs & state Rural Development Boards.</p> <p>Targets: Initial state-wise lists ready and notified by Yr 4 by relevant state government departments and final list notified by Yr 6.</p> | <p>Chhattisgarh Consideration of recommendations by the SMPB.</p> | Dec 2014 | | | NIL | NIL |
| | <p>Uttarakhand Development of manual on agro techniques of 10 prioritized MAPs for the state</p> | Jan 2014 | UK SMPB | | 71300 -Local consultant and/or 72100 -Contractual services – US \$ 5,000 | 5,000 |
| | <p>Consideration of recommendations by the SMPB.</p> | Dec 2014 | | | | |
| | <p>Preparation of Vision Document Literature Review, Field Work and data collection</p> | Feb 2014 | | | | |
| | <p>Report with recommendation</p> | Jul 2014 | | | | |
| | <p>Review of report and provide comments to agency</p> | Oct 2014 | | | | |
| | <p>Submission of Final Report</p> | Nov 2014 | | | | |
| | <p>Consideration of recommendations by the SMPB.</p> | Dec 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | | |
| <p>NOTES: <i>GEF Total Budget as per Project Document- USD 22,222</i> <i>Co – Finance Budget as per Project Document – USD 848,721.</i></p> | | | | | | |
| <p>Output 2.7. Revised Forest Division Working Plans that provide clear guidelines for the conservation management of MAPs in all project districts.</p> <p>Baseline: Currently the forest divisions working plans do not focus on sustainable use of MAPs.</p> <p>Indicators: Revised forest division working plans in the project districts.</p> | <p>Arunachal Pradesh Revision of Divisional Working Plans of Hapoli, Daporijo, and Lohit MPCA districts</p> | Dec 2014 | SMPB/S FD | GEF Funds | 71300 - Local consultant and/or 72100 - Contractual services – US \$ 333 | UKSMPB – 333 |
| | <p>Chhattisgarh Revision of Divisional working Plans of Durg, Sarguja and Dhamtari MPCA Districts</p> | Dec 2014 | | | | |
| | <p>Uttarakhand Workshop for DFO</p> | Mar 2014 | | | | |

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| <p>Target: Revised forest division working plans incorporating the guidelines for the sustainable use of MAPs published in the project districts published and adopted in all the project districts through the course of the project depending on when the working plans are due for revision.</p> | <p>Revision of Divisional Forest Working Plans of Tehri & Kotdwar</p> | <p>Dec 2014</p> | | | | | | | | | | |
| OUTPUT TOTAL US \$ | | | | | 333 | 333 | | | | | | |
| <p>NOTES: <i>GEF Total Budget as per Project Document-USD 467,167</i> <i>Co – Finance Budget as per Project Document – USD 485,540.</i></p> | | | | | | | | | | | | |
| <p>Output 2.8. Comprehensive baseline and Monitoring & Evaluation system developed for monitoring the status of medicinal plant resources in each project State.</p> <p>Baseline: Currently none of the project states have specific information on the status of MAPs or monitoring protocols.</p> <p>Indicators: Scientifically compiled comprehensive baseline on the status of MAPs in each of the project states.</p> <p>Target: By year 5 the project states will have a dataset on the status of MAPs (i.e. species wise quantitative data on plant density and distribution in the FGBs and state-wide assessments of distribution and abundance) and operational systems for MAP monitoring.</p> | <p>Arunachal Pradesh Study on status and distribution of prioritized medicinal plants of Arunachal Pradesh (RME study)</p> | <p>Jul 2014</p> | <p>SMPB FRLHT</p> | <p>GEF Funds</p> | <p>71300 - Local consultant and/or 72100- Contractual services– US \$-8,997</p> | <p>8,997</p> | | | | | | |
| | <p>Draft Report</p> | <p>Aug 2014</p> | | | <p>Review of report and provide comments to agency</p> | <p>Nov 2014</p> | <p>Submission of Final Report and Developing Data base on medicinal plants of Arunachal Pradesh.</p> | <p>Dec 2014</p> | <p>NIL</p> | <p>NIL</p> | | |
| | <p>Chhattisgarh Compilation of existing published and unpublished information on MAPs including GSMPs through Literature Review</p> | <p>Aug 2014</p> | | | <p>Collection of population status and distribution in unexplored parts through Field Work and data collection</p> | <p>Aug 2014</p> | <p>Creation of database for MAPs</p> | <p>Oct 2014</p> | | | <p>Publication of survey data</p> | <p>Dec 2014</p> |
| | <p>Uttarakhand Literature Review, Field Work and data collection</p> | <p>Jul 2014</p> | | | <p>71300 - Local consultant and/or</p> | <p>UNDP-7,500</p> | | | | | | |

| | | | | | | |
|--|---|----------|--|--|--|-----------------|
| | Draft Report | Aug 2014 | | | 72100- Contractual services- US \$1,667 | UK-1667 |
| | Review of report and provide comments to agency | Nov 2014 | | | | |
| | Submission of Final Report and creation of database on Medicinal Plants | Dec 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | 18,164 | 18,164 |
| NOTES: | | | | | | |
| <i>GEF Total Budget as per Project Document-USD 96,897</i> | | | | | | |
| <i>Co – Finance Budget as per Project Document – USD 300,000</i> | | | | | | |
| TOTAL US \$ FOR OUTCOME 2 | | | | | | 1,07,556 |
| OUTCOME 3: Conservation and Sustainable Use of MAPs Mainstreamed at Local Level into Government and Community Management Norms and Practices at Demonstration Sites in Three Project States. | | | | | | |
| OUTCOME INDICATORS: | | | | | | |
| <ol style="list-style-type: none"> 1. Ha of government forest actively managed for sustainable use of MAPs and maintenance of MAP diversity. 2. Numbers of SFD officers actively applying their training in conservation management of MAPs. 3. Ha of community forest actively managed for sustainable use of MAPs and maintenance of MAP diversity. 4. Number of MAP species, including GSMP, for which sustainable harvesting techniques developed. 5. Number of MAP collectors and other groups practicing sustainable harvesting. 6. Extent of documentation of Traditional Knowledge on MAPs. 7. Improved knowledge among MAP collectors and community forest users/managers about MAPs generally and about their legal rights, obligations and the requirements for maintaining MAP diversity and abundance. | | | | | | |
| OUTCOME BASELINES: | | | | | | |
| <ol style="list-style-type: none"> 1. 0 ha. Criteria for "active management" to favor sustainable use & maintenance of MAP diversity and suitable ecological indicators to be determined in Yr 1. 2. 0. Criteria for measuring this to be developed by Yr 2/Q2 at same time as when training module being developed. 3. Minimal, Indicators to be established in Yr 1 along with criteria for what constitutes 'active management to favor sustainable use & maintenance of MAP diversity including suitable ecological indicators. 4. 0 5. 0 6. Documentation is minimal 7. Documentation is minimal | | | | | | |

OUTCOME TARGETS:

1. At least 4 MPCAs/FGBs (established in each project state by Yr 4 (3 in state forest & 1 in community forest - 12 in total covering 18,000 ha) & 7 in total per project state by Yr 6 (5 in state forest and 2 in community forest – 21 in total or c. 32,000ha). Mid-way through the project and by the end of the project, respectively, an additional 2,000,000 ha and 6,000,000 ha of forest will be under active management for sustainable use and maintenance of MAP diversity.
2. To be established of Yr 2/Q3
3. Capacity gaps of communities, such as those for management and monitoring to be established by end of Yr 1. Subsequently developing monitoring protocols and management practices.
4. 5 GSMPs per year from the Yr 3 onwards
5. 75% of MAP collectors and all JFM groups practice sustainable harvesting in forest divisions for which sustainable harvesting protocols have been developed for target GSMPs by Yr 6.
6. Target values for mid and end of project to be determined during Yr 1.
7. Target values for mid and end of project to be determined during Yr 1.

| | | | | | | |
|---|--|----------|--------------|-----------|---|-----|
| <p>Output 3.1. Demonstration of in-situ and ex-situ conservation management, including sustainable use of MAP diversity, especially (Globally Significant Medicinal Plants) GSMPs in state forests in the three project states.</p> <p style="text-align: center;">AND</p> <p>Output 3.3: Pilot demonstration sites established for the in-situ and ex-situ conservation and sustainable management of MAPs in community owned and managed lands.</p> <p>Baseline: Output 3.1:No such techniques currently in use in project areas</p> <p>Output 3.3: 0</p> <p>Indicators: Output 3.1: MPCAs, FGBs, sustainable harvesting practices, propagation and</p> | <p>Arunachal Pradesh <u>Establishment of a functional MPCAs and MPDAs</u></p> | | SMPB and TSG | GEF Funds | 71300 - Local consultant and/or 72100 - Contractual services – US \$ 3713 | NIL |
| | Continue Botanical/Ecological surveys | Jun 2014 | | | | NIL |
| | Preparation of management plans | Jun 2014 | | | | NIL |
| | Erection of Arch and Gates | Jun 2014 | | | | NIL |
| | Demarcation | Jun 2014 | | | | NIL |
| | Maintenance of MPCAs and MPDAs | Sep 2014 | | | | NIL |
| | Soil and Water Conservation | Jun 2014 | | | | NIL |
| | Boards & Signage | Jun 2014 | | | | NIL |
| | Notification | Jun 2014 | | | | NIL |
| | Fire-lines | Jun 2014 | | | | NIL |
| | Entry Point Activities | Mar 2014 | | | | NIL |
| | Management Fund to BMCs | Sep 2014 | | | | NIL |
| | <u>Establishment of functional Sustainable Harvest Sites</u> | | | | | NIL |
| | Identification and demarcation of boundary for sustainable collection and adaptive management. | Jun 2014 | | | | |
| Ecological study | Jun 2014 | 3713 | | | | |
| Organize harvest and collection for identified medicinal plant species through task teams task team/Implement the study methodology/field test. | Jun 2014 | NIL | | | | |

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|--|--|-----------|--|--------------|--|--------|
| cultivation methods Output 3.3: No. of pilot demonstration sites for in situ and ex situ MAP conservation & management on community lands Target: Output 3.1: At least 4 MPCAs/FGBs per project state by Yr 4 (3 in state forest and 5 by Yr 6 demonstrating sustainable management practices to target groups of stakeholders Stable or increased population of target GSMPs as against baseline in state owned forest areas by end of project Output 3.3: At least 1 MPCAs/FGBs established and functioning effectively in community forest in each state by Yr 4 and 2 in each state by Yr 5, with 6 in total by end of project. Additionally, pilot propagation and cultivation of MAPs in private home gardens and farmlands and community lands adjoining forest areas, with a target of 5,000 ha under such cultivation by end of project | Provide necessary materials for identified sites (Stock register, Pass book, Tools for harvesting, processing and storing) | Feb 2014 | | | | NIL |
| | Other field level activities that are deemed necessary | Mar 2014 | | | | NIL |
| | <i>Establishment of Interpretation Centers</i> Target: Establish 02 Interpretation centres in the state. | June 2014 | | | | NIL |
| | <i>Establishment of herbal/ botanical gardens</i> Target: Establishment of at least two herbal/Botanical gardens | Jun 2014 | | | | NIL |
| | <i>Up-gradation of a forest seed centre</i> Target: Up-gradation of at least one seed centre | Jun 2014 | | | | NIL |
| | <i>Resource Augmentation</i> Target: Plantation of the 500,000 saplings of the identified GSMP tree | Jun 2014 | | | | NIL |
| | Chhattisgarh | | | SMPB and TSG | 71300 - Local consultant and/or 72100 - Contractual services – US \$ 61,733 | |
| | <i>Establishment of a functional MPCAs and MPDAs</i> | | | | | 11,733 |
| | Continue Botanical/Ecological surveys | Jun 2014 | | | | NIL |
| | Preparation of management plans | Jun 2014 | | | | NIL |
| | Demarcation | Jun 2014 | | | | NIL |
| | Strengthen MPDAs at South Kondagaon and Dhamtari forest Division | Jun 2014 | | | | NIL |
| | Maintenance of MPCAs and MPDAs | Sep 2014 | | | | 50,000 |
| | Soil and Water Conservation | Jun 2014 | | | | NIL |
| Boards & Signage | Jun 2014 | | | NIL | | |
| Notification | Jun 2014 | | | NIL | | |
| Fire lines | Jun 2014 | | | NIL | | |
| Entry Point Activities including Awareness and Education | Jun 2014 | | | NIL | | |

| | | | | | |
|---|-----------|--------------|--|--|-----------|
| <i>Establishment of functional Sustainable Harvest Sites</i> | | | | | NIL |
| Identification and demarcation of boundary for sites for sustainable collection and adaptive management | Jun 2014 | | | | |
| Ecological study | Mar 2014 | | | | NIL |
| Organize harvest and collection for identified medicinal plant species through task teams task team/Implement the study methodology/field test. | May 2014 | | | | NIL |
| Provide necessary materials for identified sites (Stock register, Pass book, Tools for harvesting, processing and storing) | Feb 2014 | | | | NIL |
| Other field level activities that are deemed necessary | Mar 2014 | | | | NIL |
| <i>Establishment of Interpretation Centers</i> | June 2014 | | | | NIL |
| Target: Establish 02 Interpretation centres in the state. | | | | | |
| <i>Up-gradation of a forest seed centre</i> | Jun 2014 | | | | NIL |
| Target: Up-gradation of at least one seed centre | | | | | |
| <i>Establishment of herbal/ botanical gardens</i> | Jun 2014 | | | | NIL |
| Target: Establishment of at least two herbal/Botanical gardens | | | | | |
| <i>Resource Augmentation</i> | Jun 2014 | | | | NIL |
| Target: Plantation of the 500,000 saplings of the identified GSMP tree species | | | | | |
| Uttarakhand | | | | | |
| <i>Establishment of a functional MPCAs and MPDAs</i> | | SMPB and TSG | | | |
| Continue Botanical/Ecological surveys | Jun 2014 | | | | |
| Preparation of management plans | Jun 2014 | | | | |
| Demarcation | Jun 2014 | | | | |
| Maintenance of MPCAs and MPDAs | Sep 2014 | | | | |
| Soil and Water Conservation | Jun 2014 | | | | |
| Boards & Signage | Jun 2014 | | | | |
| Notification | Jun 2014 | | | | |
| Fire lines | Jun 2014 | | | | |
| | | | | 71300 - Local consultant and/or 72100 - Contractual services – US \$ 36,400 | UK-36,400 |

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|--|----------|--|--|--|-----|
| Entry Point Activities including Awareness and Education | Jun 2014 | | | | |
| Management Fund to BMCs | Sep 2014 | | | | |
| <i>Establishment of functional Sustainable Harvest Sites</i> | | | | | NIL |
| Selection of one new site | Jun 2014 | | | | |
| Compilation of Baseline data for identified species | Mar 2014 | | | | NIL |
| Documentation of traditional knowledge of the selected species for sustainable collection | Mar 2014 | | | | NIL |
| Identification and demarcation of boundary for sites for sustainable collection and adaptive management | Mar 2014 | | | | NIL |
| Boards & Signage for sites | Jun 2014 | | | | NIL |
| Prepare draft collection methodology for identified species based on traditional knowledge | Jun 2014 | | | | NIL |
| Ecological study | Jun 2014 | | | | NIL |
| Develop sustainable collection practices/ methodology for identified species through merging of traditional knowledge and scientific information | Jun 2014 | | | | NIL |
| Organize harvest and collection for identified medicinal plant species through task teams task team/Implement the study methodology/field test. | Jun 2014 | | | | NIL |
| Provide necessary materials for identified sites (Stock register, Pass book, Tools for harvesting, processing and storing) | Jun 2014 | | | | NIL |
| Sustainable harvest of <i>Cinammom tamala</i> (exposure visit, drying shed, market etc.) | Jun 2014 | | | | NIL |
| Cluster development for cultivation of aromatic plants in villages near 02 MPCAs (planting material, exposure visit, distillation unit etc.) | Jun 2014 | | | | NIL |
| Other field level activities that are deemed necessary | Jun 2014 | | | | NIL |

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|---|---|-----------|--------------|-----------|-----------------------------------|-----------------|----------------|
| | <i>Up-gradation of a forest seed centre</i> Target: Up-gradation of at least one seed centre | Jun 2014 | | | | | NIL |
| | <i>Establishment of herbal/ botanical gardens</i> Target: Establishment of at least two herbal/Botanical gardens | Jun 2014 | | | | | NIL |
| | <i>Establishment of Interpretation Centres</i> Target: Establish 01 Interpretation centres in the state. | June 2014 | | | | | UNDP-15,000 |
| | <i>Resource Augmentation</i> Target: Plantation of the 500,000 saplings of the identified GSMP tree species | Jun 2014 | | | | | NIL |
| OUTPUT TOTAL US \$ | | | | | | 1,16,846 | 116,846 |
| <p>Output 3.1: GEF Total Budget as per Project Document - USD 888,888 Co – Finance Budget as per Project Document – USD 444,444.</p> <p>Output 3.3: GEF Total Budget as per Project Document - USD 177,777. Co – Finance Budget as per Project Document – USD 223,517.</p> <p>Note: The National Project Steering Committee at its seventh meeting held on September 17, 2013 observed that deposit of a one-time Biodiversity (MPCA) Management Fund in the bank accounts of BMCs would strengthen community capacity, incentivize local communities and ensure continued management of the MPCAs after the project period. Accordingly, the Committee authorized UNDP to release a token amount in the bank accounts of one or two identified BMCs under which MPCAs were established in each of the three project States, as one-time grants-in-aid towards the Biodiversity (MPCA) Management Fund. The BMCs will keep these funds in interest earning accounts and manage the same as per extant norms/practices/rules prescribed under the Biological Diversity Act, 2002. Accordingly, US\$40,000 has been budgeted for the States of Arunachal Pradesh and Uttarakhand where BMCs have been formed and MPCAs established on community lands.</p> | | | | | | | |
| Output 3.2. Strengthen MAP conservation management capacity within SFDs Baseline: 0 | Arunachal Pradesh Preparation of Training Materials by SMPB on conservation and management of MAPs | Apr 2014 | SMPB and TSG | GEF Funds | 71600 – Travel US \$ 5,000 | 5,000 | |
| | Reviewing of draft by experts | Feb 2014 | | | | | |
| | Field test and finalise training material | Sep 2014 | | | | | |

| | | | | | |
|---|--|--------------|--------------|--|--|
| <p>Indicators: Numbers of SFD officers actively applying their training in conservation management of MAPs.</p> <p>Targets: To be established by end of year 1.</p> | <p><i>Training of Identified Personal</i> Orientations & trainings on Establishment and management of MPCAs (as required by project states)</p> | Sep 2014 | | | |
| | <p>Orientations & trainings on development of sustainable collection methodology (as required by project states)</p> | Jan-Sep 2014 | | | |
| | <p><i>Appointment of Faculty in Forest Training Institute</i></p> | Feb 2014 | | | |
| | <p><i>Content Training of Trainers from SFD's.</i></p> | Jun 2014 | | | |
| | <p><i>Establishment of Functional Medicinal Plant Cells in Training Institutes</i></p> <p>Herbal/Demonstration garden FTI& Green house at SMPB</p> | Jun 2014 | | | |
| | <p><i>Exposure trips</i></p> | | | | |
| | <p>Exposure visits - Management of MPCAs</p> | Jun 2014 | | | |
| | <p>Exposure visits - Development of sustainable collection methodology</p> | Jun 2014 | | | |
| | <p><i>Village Botanist training</i></p> | Jun 2014 | | | |
| | <p><u>Chhattisgarh</u> Preparation of Training Materials by SMPB on conservation and management of MAPs</p> | Apr 2014 | SMPB and TSG | | 71600 – Travel US \$ 5,000 |
| | <p>Reviewing of draft by experts</p> | Feb 2014 | | | 71300 - Local consultant and/or |
| | <p>Field test and finalise training material</p> | Sep 2014 | | | 72100 - Contractual services - US \$ 5,000 |
| | <p><i>Training of Identified Personal</i> Orientations & trainings on Establishment and management of MPCAs (as required by project states)</p> | Sep 2014 | | | |
| | <p>Orientations & trainings on development of sustainable collection methodology (as required by project states)</p> | Jan-Sep 2014 | | | |

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|--|----------------|--------------|--|--------|
| <u>Visiting Expert Faculty in Forest Guard and Forester Training Schools for dissemination and Training of Frontline staff</u> | Jan - Jun 2014 | | | |
| <u>Content Training of Trainers from SFD's.</u> | Jun 2014 | | | |
| <u>Establishment of Functional Medicinal Plant Cells in Training Institutes</u> | Jun 2014 | | | |
| <u>Exposure trips</u> | | | | 5,000 |
| Exposure visits - Management of MPCAs | Jun 2014 | | | |
| Exposure visits - Development of sustainable collection methodology | Jun 2014 | | | |
| <u>Village Botanist training</u> Give more weightage to medicinal plants & its conservation in the syllabus and refresher course of Forest Guard and Foresters training in the next Stage Village Botanist Course & SH training. For next Stage Village Botanist Course & SH training Establish interpretation Centre (co-finance) | Jun 2014 | | | 5000 |
| <u>Uttarakhand</u> Preparation of Training Materials by SMPB on conservation and management of MAPs | Apr 2014 | SMPB and TSG | | 25,750 |
| Reviewing of draft by experts | Feb 2014 | | | |
| Field test and finalise training material | Sep 2014 | | | |
| <u>Training of Identified Personal</u> Orientations & trainings on Establishment and management of MPCAs (as required by project states) | Sep 2014 | | | |
| Orientations & trainings on development of sustainable collection methodology (as required by project states) | Jan-Sep 2014 | | | |
| | | | 71300 - Local consultant and/or 72100 - Contractual services - US \$ 25,750 | |

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|--|--|----------|--------------|------------------------------------|-------------------------------------|---------------|--------------|
| | <i>Appointment of Faculty in Forest Training Institute</i> | Feb 2014 | | | | | |
| | <i>Content Training of Trainers from SFD's.</i> | Jun 2014 | | | | | |
| | <i>Establishment of Functional Medicinal Plant Cells in Training Institutes</i> | Jun 2014 | | | | | |
| | Estb. of demonstration garden for MAPs | | | | | | |
| | <i>Exposure trips</i> | | | | | | |
| | Exposure visits - Management of MPCAs | Jun 2014 | | | | | |
| | Exposure visits - Development of sustainable collection methodology | Jun 2014 | | | | | |
| | <i>Village Botanist training</i> | Jun 2014 | | | | | |
| OUTPUT TOTAL US \$ | | | | | 40,750 | 40,750 | |
| Note: | | | | | | | |
| GEF Total Budget as per Project Document - USD 76,671 | | | | | | | |
| Co – Finance Budget as per Project Document – USD 32,048. | | | | | | | |
| Output 3.4. Improved community capacity for the conservation and sustainable use of MAPs Baseline: 0 Indicators: Proportion of MAP collectors & users practicing MAP conservation & sustainable use. Targets: To be established by end of year 1. | <u>Arunachal Pradesh, Chhattisgarh and Uttarakhand</u> | March | SMPB and TSG | GEF Funds | 71600 – Travel - US \$ 5,000 | 5,000 | |
| | Formulation of Training modules | | | | | | |
| | Field test and finalise training material | June | | | | | |
| | Village Botanist training | Feb-Dec | | | | | |
| | Exposure Visits within/outside the state | July | | | | | |
| | Community to Community Training programmes (CTCT) on sustainable collection of medicinal plants. | June | | | | | |
| | Consultations meetings of JFMCs, field visits | April | | | | | |
| | Creating awareness about collection of medicinal plants. | May | | | | | |
| | <u>Chhattisgarh</u> | March | | | | | SMPB and TSG |
| | Formulation of Training modules | | | | | | |
| Field test and finalise training material | June | | | 71600 – Travel - US \$ 3334 | 6,668 | | |
| | | | | 71300 - Local | | | |

| | | | | | | |
|---------------------------|--|---------|--------------|--|---|---------------|
| | Village Botanist training | Feb-Dec | | | consultant and/or 72100 - Contractual services - US \$ 3334 | |
| | Exposure Visits within/outside the state | July | | | | |
| | Community to Community Training programmes (CTCT) on sustainable collection of medicinal plants. | June | | | | |
| | Consultations meetings of JFMCs, field visits | April | | | | |
| | Creating awareness about collection of medicinal plants | May | | | | |
| | Uttarakhand | March | SMPB and TSG | | 71600 – Travel - US \$ 3,333 | 3,333 |
| | Formulation of Training modules | | | | | |
| | Field test and finalise training material | June | | | | |
| | Village Botanist training | Feb-Dec | | | | |
| | Exposure Visits within/outside the state | July | | | | |
| | Community to Community Training programmes (CTCT) on sustainable collection of medicinal plants. | June | | | | |
| | Consultations meetings of JFMCs, field visits | April | | | | |
| | Creating awareness about collection of medicinal plants. | May | | | | |
| OUTPUT TOTAL US \$ | | | | | 15,001 | 15,001 |

Note:

GEF Total Budget as per Project Document - USD 277,777

Co – Finance Budget as per Project Document – USD 133,333.

| | | | | | | |
|---|---|-----------|--------------|-----------|--|-----------|
| <p>Output 3.5. Improved community capacity for documenting and conserving traditional knowledge relating to MAPs, including traditional medicine, harvesting techniques and how to protect their IPRs.</p> <p>Baseline: Currently none although the process was started under the National Biodiversity Act 2002</p> <p>Indicators: Extent of documentation of</p> | Arunachal Pradesh | | SMPB and TSG | GEF Funds | 71300 - Local consultant and/or 72100 - Contractual services - US \$ 9,600 | AP-9,600 |
| | Preparation of PBRs | Sept 2014 | | | | |
| | Capacity development programmes on traditional knowledge documentation Making of PBRs and BCP | Sept 2014 | | | | |
| | Video documentation of Traditional Knowledge | Sept 2014 | | | | |
| | Chhattisgarh | | SMPB and TSG | | 71300 - Local consultant and/or | CG-16,666 |
| | Preparation of PBRs | Sept 2014 | | | | |

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|---|---|----------|--|---|--|--|
| <p>Traditional Knowledge on MAPs by the local communities in the form of documents like community biodiversity registers</p> <p>Targets: 10 such registers to be produced every year in the villages around the FGBs (i.e. 1 per village) from year 2 onwards, with a total of 70 community registers/state produced by end of project</p> | Hiring of expert | Sep 2014 | | | 72100 - Contractual services - US \$ 16666 | |
| | Documentation of Local Health Traditions | Sep 2014 | | | | |
| | Capacity development programmes on traditional knowledge documentation | Sep 2014 | | | | |
| | <i>Making of PBRs and BCP</i> | | | | | |
| | Video documentation of Traditional Knowledge | Sep 2014 | | | | |
| | Developing Nurseries for Home Herbal Garden (2 nurseries each having 1 lacs plants) at Kondagaon, Kanker and Dhamtari Forest Division | Sep 2014 | | | | |
| | Implementation of the community training programme | Sep 2014 | | | | |
| | Certification of local healers at two MPCA sites in each state | Sep 2014 | | | | |
| | Uttarakhand | | | | | |
| | Development of reference database for healers | Sep 2014 | | | | |
| Recognition of healers, organizing fairs | Sep 2014 | | | | | |
| Demonstration of ABS model in partnership with BMCs, Private Sector and Certification Agency | | | | | | |
| Registration of Medicinal Plants species of Arunachal Pradesh and Uttarakhand under the Geographical Indications of Goods (Registration and Protection) Act, 1999 | | | | 71600 – Travel - US \$ 700 | UNDP-8,000 | |
| | | | | 71300 - Local consultant and/or 72100 - Contractual services - US \$ 7000 | | |
| | | | | 74500 – Miscellaneous - US \$ 300 | | |

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|---|--|---------------------------|-----------------------|-----------|--|----------------------------------|
| | | OUTPUT TOTAL US \$ | | 45,993 | 45,933 | |
| Note: GEF Total Budget as per Project Document - USD 288,887 Co - Finance Budget as per Project Document - USD 166,666. | | | | | | |
| TOTAL US \$ FOR OUTCOME 3 | | | | | 2,18,530 | |
| OUTCOME 4: Materials and Methods Developed for Replicating the Successful Models of Conservation and Sustainable Use of Medicinal Plants Across Other Sites in the Three States and More Broadly. | | | | | | |
| OUTCOME INDICATOR: Number of additional forest divisions in project states and in replication states adopting successful models. Precise criteria to be determined by mid project. These could include policy changes, new policies, capacity development of SMPBs, SFDs, MAP collectors, community forest users/managers increased sustainable harvesting of MAPs in state forests and community forests. Number of states developing strategies for Conservation & Sustainable Use of MAPs based on national and project state strategies. Revision of forest division working plans in at least 2 forest divisions in each of the 4 replication states. Training material and modules used and applied. Establishment of effective FGB/MPCA complex in each replication state. | | | | | | |
| OUTPUT BASELINE: Baseline values where known, others to be established by end Yr 1 Policies No state in India has dedicated strategies for the sustainable use and conservation of MAPs Capacity of different stakeholder groups To be established mid project Forest Working Plans Probably none that take MAPs into account but to be confirmed Training materials & course modules Probably none, but to be confirmed FGBs/MPCAs None in target replication states in either state or community forests. | | | | | | |
| OUTPUT TARGET: All targets to be determined by end of Yr 1 | | | | | | |
| Output 4.1 To develop communication strategy and tools to support SMPBs in ensuring participation of local communities and also to aid in replication both within the states and across other states. Baseline: No such strategies currently exist Indicators: State-level strategies in the 3 project states Targets: | Consideration of recommendations deemed necessary by the project States. | Dec 2014 | SMPBs, UNDP and FRLHT | GEF Funds | 71300 - Local consultant and/or 72100 - Contractual services – US \$ 20,666 | AP-6,666 CG-8,333 UK-5,667 |
| | Engage communication agencies for defined activities. | Jan – Dec 2014 | | | | |

OUTPUT TOTAL US \$

20,666

20,666

Notes:

GEF Total Budget as per Project Document- USD 290,858.

Co – Finance Budget as per Project Document – USD 514,692

Three communication agencies namely Dusty Foot Productions, CEE and CCRD were commissioned by UNDP after following its due process for developing a State specific strategy and tools for each project State. The work done by the communication agencies in drawing and sensitizing local communities towards project activities is commendable. Accordingly, the CEOs of the three SMPBs may engage the same communication agencies for defined activities and corresponding budgets. Further, for any sort of knowledge products or communications/outreach requirements related to the project, UNDP may also directly use the services of any of the already identified agencies vis. Dusty Foot Productions, CEE and CCRD. UNDP to book additional expenditure for such activities under the project.

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|--|---|------------------|----------------------|-----------|---|-------------|
| <p>Output 4.2 Experience sharing and exchanging best practices at national and international level through south-south co-operation.</p> <p>Baseline:</p> <p>Indicators:</p> <p>Targets:</p> | Organise exposure visits to the following South countries for exchanging best practices and sharing knowledge on Medicinal Plants: | | MoEF, UNDP and FRLHT | GEF Funds | <p>71300 - Local consultant and/or</p> <p>72100 - Contractual services – US \$ 71,500</p> <p>71600 – Travel – US \$ 44,556</p> <p>74500 – Miscellaneous – US \$ 1,915</p> | AP-5,000 |
| | 1. Nepal | Mar 2014 | | | | CG-8,333 |
| | 2. Sri Lanka | Jun 2014 | | | | UK-18,333 |
| | Share project results, achievements and learning at ICNP-3 and CoP-12 to the CBD at South Korea | Feb and Sep 2014 | | | | UNDP-86,305 |
| | Share project results, achievements and learning at International Congress for Ethno-botany at Bhutan | May 2014 | | | | |
| | Develop knowledge products for south-south cooperation | Dec 2014 | | | | |
| | Sharing knowledge products through e-networks and visits | Dec 2014 | | | | |
| | Publication of knowledge products and resource materials such as manuals, reports, books, periodicals, training and communication materials, etc. | Dec 2014 | | | | |
| | Sharing and dissemination of project lessons through Solution Exchange | Dec 2014 | | | | |
| National level symposium on sustainable harvesting of wild MAP's | Dec 2014 | | | | | |

| | | | | | | |
|--|---|-----------|----------------------|-----------|--|----------------|
| | Organise National and International Level Workshops/Seminars/Conferences/Training Programmes etc. for dissemination of project achievements and share best practices and knowledge emanating from the project | Dec 2014 | | | | |
| | Target : one International Workshop | | | | | |
| | Evaluate MPCAs leading to preparation of guidelines for establishment and management of MPCAs and MPDAs | Oct 2014 | | | | |
| | Outlet of Herbal Products at the Airport in Dehradun | Dec 2014 | UKSMPB | | | |
| | Travelling Exhibition on Medicinal Plants and Health | June 2014 | FRLHT | | | |
| OUTPUT TOTAL US \$ | | | | | 1,17,971 | 117,971 |
| Note: | | | | | | |
| <i>GEF Total Budget as per Project Document - USD 315,954</i> | | | | | | |
| <i>Co – Finance Budget as per Project Document – USD 448,026.</i> | | | | | | |
| <ol style="list-style-type: none"> 1. An exposure visit for officers of MoEF, UNDP, FRLHT, the three project States and local communities may be organized to Nepal by FRLHT. 2. The National and International Level Workshops/Seminars/Conferences/Training Programmes, etc. for dissemination of project achievements and sharing of best practices and knowledge emanating from the project may be undertaken with the due authorization of either MoEF or UNDP. 3. The project would be showcased at CoP-12 to the CBD at South Korea. 4. The National Project Management Unit will organize at least one International Level Workshops/Seminars/Conferences/Training Programmes, etc. for South-South countries. | | | | | | |
| Technical agencies/ individuals may be engaged by UNDP, MoEF, FRLHT and three project States to facilitate the same. | | | | | | |
| Output 4.3 A proposal for the conservation, cultivation and sustainable use of MAPs for the five replication States develop | Select agency to develop an exit strategy and proposal for replication within project States and in additional States. | Mar 2014 | MoEF, UNDP and FRLHT | GEF Funds | 71300 - Local consultant and/or | 35,000 |
| AND | Submission of work plan and Chapterization of the exit strategy and the proposal for replication within project States and in additional States | Apr 2014 | | | 72100 - Contractual services | |
| Output 4.4 Develop and operationalize exit strategy and final workshops | Submission of draft exit strategy and the proposal for replication within project States and in additional States | Aug 2014 | | | 71600 – US \$ 35,000 | |

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|---|--|-----------|--------------|--------------|--|--------------------------|
| Baseline : None Indicators : Targets : Submit the proposal(s) to funding agencies | Review Strategy and provide comments | Sept 2014 | | | | |
| | Submit final strategy and the proposal for replication within project States and in additional States | Oct 2014 | | | | |
| | Submit proposals developed on Conservation, Sustainable Use and Cultivation of Medicinal and Aromatic Plants within the project States and for other States of India for funding. | Dec 2014 | | | | |
| OUTPUT TOTAL US \$ | | | | | | 35,000 |
| <p>Note: <i>GEF Total Budget as per Project Document- USD 413,534.</i> <i>Co – Finance Budget as per Project Document – USD 866,665.</i></p> <p><i>Technical agencies/ individuals may be engaged by UNDP, MoEF, FRLHT and three project States to develop an exit strategy including proposals for replication in additional States and within project States.</i></p> | | | | | | |
| TOTAL US \$ FOR OUTCOME 4 | | | | | | 1,73,637 |
| OUTCOME 5: Effective Project Monitoring and Evaluation, Lessons Learning and Adaptive Management | | | | | | |
| Output 5.1 Monitoring and evaluation | Collate, prepare and documentation of Project achievements vis-à-vis the indicators, targets and finances including co-finance. | Sep 2013 | TSG/NP MU | GEF Funds | 71300 -Local consultant and/or 72100 - Contractual services – US \$ 110,833 | AP-25,000 CG-30,000 |
| Baseline: | | | | | | |
| Indicators: | Monitoring and evaluation of the project by MoEF, UNDP and NPMU through regular review meetings, workshops and field visits at the State and National Level | Sep 2014 | | | 71600 – Travel – US \$ 37,000 | UK-20,000 UNDP-80,333 |
| Targets: | Organise National and International Level Workshops/Seminars/Conferences/Training Programmes etc. for dissemination of project achievements and share best practices and knowledge emanating from the project. | Sep 2014 | | | 74500 – Miscellaneous – US \$ 7,500 | |
| | Timely production, review & dissemination of progress reports. | Sep 2014 | | | | |
| | Wide dissemination of project results and key lessons nationally and internationally. | Sep 2014 | | | | |

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|--|--|----------|--------------------------|--|--|--|
| | 8th National Project Steering Committee Meeting | Mar 2014 | | | | |
| | 9th National Project Steering Committee Meeting | Aug 2014 | | | | |
| | Organising State Project Steering Committee Meeting for each project State | | SPMU/TS G and NPMU | | | |
| | Arunachal Pradesh Chhattisgarh Uttarakhand | | | | | |

OUTPUT TOTAL US \$ **155,333** **155,333**

Note:

GEF Total Budget as per Project Document- USD 200,000

Technical agencies/ individuals may be engaged by UNDP, MoEF, FRLHT and three project States to facilitate the abovementioned activities.

| | | | | | | |
|---|--|------------|-------|------------------|---|--------------|
| Output 5.2 Technical Support Group (TSG) at FRLHT will guide the states on various activities. | Give technical guidance for SMPB baseline botanical, ecological and Topographical surveys and for demarcation of MPCA sites using GPS | Aug 2014 | FRLHT | <i>GEF Funds</i> | 71300 -Local consultant and/or 72100 - Contractual services – US \$ 9,000 71600 – Travel – US \$ 1,000 | FRLHT-10,000 |
| | Help SMPB and communities to collect identified MAPs sustainably | Sep 2014 | FRLHT | | | |
| | Advise SMPBs on constitution of BMCs and preparation of PBRs | May 2014 | FRLHT | | | |
| | Build capacities of local community and NGO's in preparation of PBRs | April 2014 | FRLHT | | | |
| | Plan and facilitate technical training modules, workshops and field visits for DFOs and Range Officers from implementing states on MPCA management, resource augmentation, and sustainable harvesting strategies | Sep 2014 | FRLHT | | | |
| | Undertake technical appraisal of proposals received from SMPBs for getting co financing from other project linked government schemes and projects | Sep 2014 | FRLHT | | | |
| | Prepare and consolidate QPRs related to technical aspects. | Sep 2014 | FRLHT | | | |

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|---|--|-----------|-------|------------------|---|---------------|----------------------|
| | Provide technical inputs for preparation of PIR for 2012 | June 2014 | FRLHT | | | | |
| | Provide any technical assistance and information for publications to the states, UNDP, MoEF and NPMU | Sep 2014 | | | | | |
| | Prepare detailed manuals and information booklets on the various components, outputs, strategies etc. in the project | Sep 2014 | | | | | |
| | Prepare annual technical progress report | Sep 2014 | | | | | |
| | Review progress reports | Sep 2014 | | | | | |
| | Coordination of exposure visits of forest department and community | Sep 2014 | FRLHT | | | | |
| | Enable the project staff in 3 states to organize village botanist course. | Sep 2014 | FRLHT | | | | |
| | Help SMPB and communication agencies to organize community workshops | Sep 2014 | FRLHT | | | | |
| | Help SMPB to organize CTCT | Sep 2014 | FRLHT | | | | |
| OUTPUT TOTAL US \$ | | | | | | 10,000 | 10,000 |
| Note: | | | | | | | |
| <i>GEF Total Budget as per Project Document- USD 293,000</i> | | | | | | | |
| TOTAL US \$ FOR OUTCOME 5 | | | | | | | 1,65,333 |
| | National Project Management Unit Cost | | | <i>GEF Funds</i> | 71300 Local consultant and/or 72100 Contractual services – US \$ 9,000 | | FRLHT- 10,000 |
| | | | | | 71600 Travel – US \$ 1,000 | | |
| OUTPUT TOTAL US \$ | | | | | | 10,000 | 10,000 |
| Note: | | | | | | | |
| <i>GEF Total Budget as per Project Document- USD 161,667</i> | | | | | | | |
| <i>Co – Finance Budget as per Project Document – USD 211,784.</i> | | | | | | | |

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|---|--|--|--|-----------|---|---------------|-------------------------------------|
| | State Project Management Unit Cost | | | GEF Funds | 71300 consultant and/or 72100 Contractual services – US \$ 61,467 | Local | AP-25,000 CG-20,000 UK-30,000 |
| | | | | | 71600 Travel – US \$ 9,833 | | |
| | | | | | 74500 Miscellaneous – US \$ 3,700 | – | |
| | | | | | OUTPUT TOTAL US \$ | 75,000 | 75,000 |
| Note: | | | | | | | |
| <i>GEF Total Budget as per Project Document- USD 323,334</i> | | | | | | | |
| <i>Co – Finance Budget as per Project Document – USD 423,566.</i> | | | | | | | |
| TOTAL US \$ FOR PROJECT MANAGEMENT | | | | | | | 85,000 |
| TOTAL USD \$ | | | | | | | 805,056 |
| | Audit and Terminal Evaluation, Communication and other costs | | | | | | 15,500 |
| | Project Management Expenses | | | | | | 26,944 |
| | Solution Exchange | | | | | | 2,500 |
| AWP TOTAL IN USD | | | | | | | 850,000 |

**Note: Some of the components of this AWP will be implemented using the MCG modality.*

II. MANAGEMENT ARRANGEMENTS

The Project Management is as mentioned in the approved Project Document.

1. Roles and responsibilities of the parties involved in managing the project, oversight mechanism, fund management and project support staff.

At the national level the project is executed by MoEF through the National Project Director (NPD) and Nodal Officer. The NPD is supported by the National Project Management Unit (NPMU) and the National Project Steering Committee (NPSC). NPSC has recommended that the NPMU which is currently housed at FRLHT, should be shifted to New Delhi, under the direct supervision of the NPD.

National Project Management Unit (NPMU)

The National Project Management Unit (NPMU) consists of the Project Manager, Project Associate (Monitoring), Project Associate (Livelihood), Communication Specialist (UNV), Project Accountant, and Project Assistant. As per the Sixth NPSC, consultants and Interns may be engaged to support the NPMU. The salaries of all the NPMU members are charged to Outcome 5 – Monitoring and Evaluation and NPMU.

Technical Support Group, FRLHT (TSG)

Technical support for the project is provided by a team of experts from Foundation for Revitalisation of Local Health Traditions (FRLHT). The expenses (such as remunerations, travel, office expenses, etc.) of the experts that are part of the Technical Support Group (TSG) are booked under Outcome 5.2.

At the State level the project is implemented by CEO/Member Secretary, State Medicinal Plants Board, supported by State Project Management Unit (SPMU) and the State Project Steering Committee.

State Project Management Unit (SPMU)

The State Project Management Unit (SPMU) consists of the Project Coordinator, Project Associate and the Project Accountant. The SPMU is supported by a technical unit engaged under Outcome 3 and Outcome 5.

2. UNDP Support Services

- a) Project Monitoring
- b) Procurement
- c) Auditing
- d) Facilitating communication through Solution Exchange

e) Hiring/workshops etc.

3. Audit Arrangements

In support of fiduciary good practice and to facilitate scheduled and special audits, each Implementing Partner receiving funds from UNDP will provide UNDP or its representative with timely access to: a) all financial records which establish the transactional record of the fund transfers provided by UNDP; and b) all relevant documentation and personnel associated with the functioning of the Implementing Partner's internal control structure through which the fund transfers have passed. The findings of each audit will be reported to the Implementing Partner, DEA and UNDP. As part of the process, each Implementing Partner will: a) receive and review the audit report issued by the auditors; b) provide timely statements of the acceptance or rejection of any audit recommendation to the UNDP that provided the funds; c) undertake timely actions to address the accepted audit recommendations; and d) report on the actions taken to implement accepted recommendations to the UNDP on a quarterly basis.

4. Agreement on intellectual property rights and use of logo on the project's deliverables.

The project partners have been advised to ensure use and/or display GEF, MoEF, UNDP and FRLHT logos on all knowledge products and display boards developed with financial support from this project.

5. Fund Flow Arrangements and Financial Management:

According to the present fund flow arrangement, with the concurrence of the Executing Partner (Ministry of Environment and Forests), UNDP will directly release funds to the bank account created for the implementation of this project with the Project Management Unit housed in the Foundation for Revitalization of Local Health Traditions (FRLHT), Bangalore (bank details given below). The Implementing Partner will account for funds received from UNDP. The request from the Implementing Partner will come through the standard Fund Authorization and Certificate of Expenditures (FACE) Report duly signed by the Project Director or person assigned/delegated by the Implementing Partner. Only after 80% of last advance and 100% of all the previous advances are spent will the next advance be released.

Funds can also be transferred as: a) direct payment to vendors or third parties for obligations incurred by the Implementing Partners (Indian Railways) on the basis of requests signed by the designated official of the Implementing Partner; and b) direct payments to vendors or third parties for obligations incurred by UN agencies in support of activities agreed with Implementing Partners. Advance fund transfers shall be requested and released for programme implementation periods not exceeding three months. UNDP shall not be obligated to reimburse expenditure made by the Implementing Partner over and above the authorized amounts. Also Partner needs to report interest earned immediately to UNDP through next submitted FACE Form.

The Implementing Partner may request UNDP to provide support services for project implementation. These services may include procurement, recruitment, purchase of goods and services, and organisation of training activities and workshops. UNDP recruitment and procurement rules and regulations will apply for the services provided. All direct costs which are attributable to the provision of these services (direct project costs) will be charged to the project in accordance with in accordance with the policies decided by UNDP decided by UNDP's Executive Board.

Separate books of account shall also be maintained in order to ensure accurate reporting of expenditure and providing a clear audit trail. Any interest accrued on the project funds during the project cycle will be ploughed back into the project in consultation with Implementing partner and UNDP and project budgets will stand revised to this extent. If there is no scope for ploughing back the interest will be refunded to UNDP.

Bank Name : CANARA BANK
A/C No : 0425101047981
Branch : Hebbal Branch, Bangalore

Branch Code : 0425
IFSC code : CNRB 0000425
Customer Name : NPMU India UNDP GEF

Note: The National Project Steering Committee discussed the fund flow mechanism in its sixth meeting held on January 11, 2013 at Bengaluru.

III. MONITORING FRAMEWORK AND EVALUATION

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

- A. **MONTHLY PROGRESS REPORT:** The Implementing Partner, in consultation with the project teams, will provide brief monthly updates on progress against planned activities and budgets. These monthly reports will be provided in the format provided at **Annex1**. These monthly reports will be consolidated, as required, by UNDP's quality assurance team for progress review meetings.
- B. **ONE TIME RISK LOG:**Based on the initial risk analysis, a risk log shall be activated in Atlas and regularly updated by reviewing the external environment that may affect the project implementation. This will be completed by UNDP project assurance team in consultation with the Implementing partner. Use the standard Risk Log template
- C. **QUARTERLY FINANCIAL REPORT:**The Implementing Partner (IP) will make use of the Funding Authorization and Certificate of Expenditures (FACE) to request for advances and report on expenditures made on a quarterly basis, or more frequently if agreed. The implementing partner must submit the FACE at the end of each quarter, within the first 10 days of the following quarter. Together with the FACE, the project has to send a copy of the bank statement as up to the date of the end of the period reported and the itemized cost estimates of the activities to be funded. The FACE form has to be certified by the designated official from the IP.
- D. In case a project **EVALUATION** : we will initiate the process in November 2014.
- E. **ANNUAL REVIEW REPORT:** An Annual Review Report shall be prepared by the Project Manager and shared with the Project Board and the Outcome Board. The reporting format at **Annex 2** will used to provide brief description of results achieved in the year against pre-defined annual targets.
- F. **ANNUAL PROJECT REVIEW.** Based on the above report, an annual project review shall be conducted during the fourth quarter of the year or soon after, to assess the performance of the project and appraise the Annual Work Plan (AWP) for the following year. In the last year, this review will be a final assessment. This review is driven by the Project Board and may involve other stakeholders as required. It shall focus on the extent to which progress is being made towards outputs, and that these remain aligned to appropriate outcomes.
